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**ON CONVERGENCE OF SPECTRAL EXPANSION
OF ABSOLUTELY CONTINUOUS
VECTOR-FUNCTION IN EIGEN
VECTOR-FUNCTIONS OF FOURTH ORDER
DIFFERENTIAL OPERATOR**

Abstract

In the paper, a fourth order ordinary differential operator with matrix coefficients is considered, absolute and uniform convergence of orthogonal expansion of an absolutely continuous vector-function in eigen vector-functions of the given operator is studied, and the rate of uniform convergence of this expansion is established.

Consider on the interval $G = (0, 1)$ the operator

$$L\psi = \psi^{(4)} + U_2(x)\psi^{(2)} + U_3(x)\psi^{(1)} + U_4(x)\psi$$

with matrix coefficients $U_\ell(x) = (u_{\ell ij}(x))_{i,j=1}^m$, $\ell = \overline{2;4}$, where $u_{\ell ij}(x) \in L_1(G)$ are real functions $u_{\ell ij}(x) = u_{\ell ji}(x)$.

Denote by $D(G)$ the class of m -component vector-functions absolutely continuous together with own derivatives to the third order inclusively on the closed interval $\overline{G} = [0, 1]$ ($D(G) = W_{1,m}^4(G)$).

Under the eigen vector-function of the operator L responding to the eigen value λ we'll understand any vector-function $\psi(x) = (\psi_1(x), \psi_2(x), \dots, \psi_m(x))^T \in D(G)$ identically not equal to zero and satisfying almost everywhere in G the equation (see [1])

$$L\psi + \lambda\psi = 0.$$

Let $L_p^m(G)$, $p \geq 1$, be the space of m -component vector-functions $f(x) = (f_1(x), f_2(x), \dots, f_m(x))^T$ with the norm

$$\|f\|_{p,m} = \left\{ \int_G |f(x)|^p dx \right\}^{1/p} = \left\{ \int_G \left(\sum_{i=1}^m |f_i(x)|^2 \right)^{p/2} dx \right\}^{1/p}.$$

Suppose that $\{\psi_k(x)\}_{k=1}^\infty$ is a complete, orthonormalized system in $L_2^m(G)$ consisting of eigen-functions of the operator L . Denote by $\{\lambda_k\}_{k=1}^\infty$, $\lambda_k \leq 0$ the appropriate system of eigen values.

Denoting $\mu_k = \sqrt[4]{-\lambda_k}$ introduce into consideration the partial sum of the orthogonal expansion of the vector-function $f(x) \in W_{1,m}^1(G)$ in the system $\{\psi_k(x)\}_{k=1}^\infty$

$$\sigma_\nu(x, f) = \sum_{\mu_k \leq \nu} f_k \psi_k(x), \quad \nu > 0,$$

where

$$f_k = (f, \psi_k) = \int_0^1 \langle f(x), \psi_k(x) \rangle dx = \int_0^1 \sum_{j=1}^m f_j(x) \psi_{kj}(x) dx,$$

[V.M.Kurbanov, Ya.I.Huseynova]

$$\psi(x) = (\psi_{k1}(x), \psi_{k2}(x), \dots, \psi_{km}(x))^T.$$

In the paper the following theorem is proved.

Theorem. Let the vector-function $f(x) \in W_{1,m}^1(G)$, the system $\{\psi_k(x)\}_{k=1}^\infty$ be uniformly bounded, and the following conditions be fulfilled

$$\left| \left\langle f(x), \psi_k^{(3)}(x) \right\rangle \right|_0^1 \leq C_1(f) \mu_k^\alpha, \quad 0 \leq \alpha < 3, \quad \mu_k \geq 4\pi; \quad (1)$$

$$\sum_{n=2}^\infty n^{-1} \omega_{1,m}(f', n^{-1}) < \infty. \quad (2)$$

Then the expansion of the vector-function $f(x)$ in the system $\{\psi_k(x)\}_{k=1}^\infty$ converges absolutely and uniformly on $\bar{G} = [0, 1]$, and it is valid the estimation

$$\sup_{x \in \bar{G}} |\sigma_\nu(x, f) - f(x)| \leq \text{const} \left\{ C_1(f) \nu^{\alpha-3} + \sum_{n=[\nu]}^\infty \omega_{1,m}(f', n^{-1}) n^{-1} + \right. \\ \left. + \left(\|f\|_{\infty,m} + \|f'\|_{1,m} \right) \sum_{r=2}^4 \nu^{1-r} \| \|U_r\| \|_1 + \nu^{-1} \|f'\|_{1,m} \right\}, \nu \geq 2, \quad (3)$$

where $\omega_{1,m}(g, \delta)$ is an integral modulus of continuity of the vector-function $g(x) = (g_1(x), g_2(x), \dots, g_m(x))^T \in L_1^m(G)$; $\| \|U_r\| \|_1 = \sum_{i,j=1}^m \|U_{rij}\|$, $r = \overline{2,4}$; *const* is independent of $f(x)$.

Note that such theorems for a second order operator were proved in [2]-[4].

For proving the theorem we estimate the Fourier coefficient f_k of the vector-function $f \in W_{1,m}^1(G)$.

Lemma. For the Fourier coefficients f_k of the vector-function $f(x) \in W_{1,m}^1(G)$ satisfying condition (1) the estimation ($\mu_k \geq 4\pi$)

$$|f_k| \leq \text{const} \left\{ C_1(f) \mu_k^{\alpha-4} + \frac{\omega_{1,m}(f', \mu_k^{-1})}{\mu_k} + \right. \\ \left. + \frac{\|f'\|_{1,m}}{\mu_k^2} + \frac{\|f\|_{\infty,m} + \|f'\|_{1,m}}{\mu_k^2} \sum_{r=2}^4 \mu_k^{2-r} \| \|U_r\| \|_1 \right\} \quad (4)$$

is valid.

Proof of the lemma. For the eigen vector-function $\psi_k(t)$ the following formula is valid (see [5], [6])

$$\mu_k^{-\ell} \psi_k^{(\ell)}(t) = \\ = \sum_{j=1}^3 X_{kj}(0) (-i\omega_j)^\ell \exp(-i\omega_j \mu_k t) + (-i\omega_4)^\ell B_{k4}(0) \exp(i\omega_4 \mu_k (1-t)) + \\ + \sum_{j=1}^3 (-1)^\ell \omega_j^{\ell+1} \int_0^t M(\xi, \psi_k) \exp(i\omega_j \mu_k (\xi-t)) d\xi -$$

$$-(-i)^\ell \omega^{\ell+1} \int_t^1 M(\xi, \psi_k) \exp(i\omega_4 \mu_k (\xi - t)) d\xi, \quad (5)$$

where

$$\omega_1 = -\omega_2 = -1, \quad \omega_4 = -\omega_3 = i, \quad \ell = \overline{0, 3}, \quad \mu_k \neq 0;$$

$$X_{kj}(x) = \frac{1}{4} \sum_{s=0}^3 \omega_j^{s+1} (-i\mu_k)^{s-3} \psi_k^{(3-s)}(x);$$

$$B_{k4}(x) = \exp(i\omega_4 \mu_k (1-x)) \times$$

$$\times \left\{ X_{k4}(0) + \omega_4 \int_x^1 M(\xi, \psi_k) \exp(i\omega_j \mu_k (\xi - x)) d\xi \right\};$$

$$M(\xi, \psi_k) = \frac{1}{4\mu_k^3} \sum_{r=2}^4 U_r(\xi) \psi_k^{(4-r)}(\xi).$$

With regard to definition of the eigen function $\psi_k(x)$ calculate the Fourier coefficients f_k for $\mu_k \geq 1$:

$$\begin{aligned} f_k = (f, \psi_k) &= \frac{1}{\mu_k^4} (f, L\psi_k) = \frac{1}{\mu_k^4} (f, U_2\psi_k^{(2)} + U_3\psi_k^{(1)} + U_4\psi_k) + \frac{1}{\mu_k^4} (f, \psi_k^{(4)}) = \\ &= \frac{1}{\mu_k^4} \left\langle f, \psi_k^{(3)} \right\rangle_0^1 - \frac{1}{\mu_k^4} (f', \psi_k^{(3)}) + \frac{1}{\mu_k^4} (f, U_2\psi_k^{(2)}) + \frac{1}{\mu_k^4} (f, U_3\psi_k^{(1)}) + \frac{1}{\mu_k^4} (f, U_4\psi_k). \end{aligned} \quad (6)$$

Taking into account the estimations (see [7])

$$\left\| \psi_k^{(s)} \right\|_{\infty, m} \leq \text{const} (1 + \mu_k)^{s + \frac{1}{p}} \|\psi_k\|_{p, m}, \quad p \geq 1, \quad (7)$$

of uniform boundedness of the system $\{\psi_k(x)\}_{k=1}^\infty$, we find

$$\frac{1}{\mu_k^4} \left| (f, U_2\psi_k^{(2)}) \right| \leq \frac{\text{const}}{\mu_k^4} \|f\|_{\infty, m} \|U_2\|_1 \|\psi_k\|_{\infty, m} \mu_k^2 \leq \frac{\text{const}}{\mu_k^2} \|f\|_{\infty, m} \|U_2\|_1; \quad (7')$$

$$\frac{1}{\mu_k^4} \left| (f, U_3\psi_k^{(1)}) \right| \leq \frac{\text{const}}{\mu_k^3} \|f\|_{\infty, m} \|U_3\|_1;$$

$$\frac{1}{\mu_k^4} |(f, U_4\psi_k)| \leq \frac{\text{const}}{\mu_k^4} \|f\|_{\infty, m} \|U_4\|_1.$$

From condition (1)

$$\frac{1}{\mu_k^4} \left| \left\langle f, \psi_k^{(3)} \right\rangle_0^1 \right| \leq C_1(f) \mu_k^{\alpha-4}. \quad (8)$$

For estimating the second addend in the right side of equality (6), use formula (5) for $\ell = 3$

$$\frac{1}{\mu_k^4} (f', \psi_k^{(3)}) = \frac{1}{\mu_k} \sum_{j=1}^3 (f', X_{kj}(0) \exp(-i\omega_j \mu_k t)) (-i\omega_j)^3 +$$

[V.M.Kurbanov, Ya.I.Huseynova]

$$\begin{aligned}
& + \frac{1}{\mu_k^4} (-i\omega_4)^3 (f', B_{k4}(0) \exp(i\omega_4\mu_k(1-t))) + \\
& + \frac{1}{\mu_k} \sum_{j=1}^3 (-1)^3 \omega_j^4 \left(f', \int_0^t M(\xi, \psi_k) \exp(i\omega_j\mu_k(\xi-t)) d\xi \right) + \\
& + \frac{1}{\mu_k} i^3 \omega_4^4 \left(f', \int_t^1 M(\xi, \psi_k) \right) \exp(i\omega_4\mu_k(\xi-t)) d\xi. \quad (9)
\end{aligned}$$

Estimate each term in the given equality. Passing to coordinates, we get

$$\begin{aligned}
(f', X_{kj}(0) \exp(-i\omega_j\mu_k t)) &= \sum_{\ell=1}^m \int_0^1 f'_\ell(t) X_{kj}^\ell(0) \exp(-i\omega_j\mu_k t) dt = \\
&= \sum_{\ell=1}^m X_{kj}^\ell(0) \int_0^1 f'_\ell(t) \exp(-i\mu_k\omega_j t) dt, \quad j = \overline{1,3}.
\end{aligned}$$

Here, taking into account estimation (7) for $p = \infty$, from uniform boundedness of the system $\{\psi_k(x)\}_{k=1}^\infty$ and estimation (see [5], [6])

$$\left| \int_0^1 f'_\ell(t) \exp(-i\omega_j\mu_k t) dt \right| \leq \text{const} \left\{ \omega_1 \left(f'_\ell, \frac{1}{\mu_k} \right) + \frac{1}{\mu_k} \|f'_\ell\|_1 \right\}, \quad \ell = \overline{1, m}$$

we find

$$(f', X_{kj}(0) \exp(-i\omega_j\mu_k t)) = \text{const} \left\{ \omega_{1,m} \left(f', \frac{1}{\mu_k} \right) + \frac{1}{\mu_k} \|f'\|_{1,m} \right\}. \quad (10)$$

Now estimate the coefficients $B_{k4}(0)$. Having written formula (5) for $\ell = 0$, for $B_{k4}(0)$ we find.

$$\begin{aligned}
|B_{k4}(0)| &\leq C \|\exp(i\omega_4\mu_k(1-\cdot))\|_\infty^{-1} \times \\
&\times \left\{ \|\psi_k\|_{\infty,m} + \sum_{j=1}^3 |X_{kj}(0)| + \sum_{j=1}^3 \left\| \int_0^1 |M(\xi, \psi_k)| d\xi \right\|_\infty + \left\| \int_0^1 |M(\xi, \psi_k)| d\xi \right\|_\infty \right\}.
\end{aligned}$$

Taking into account

$$\begin{aligned}
|M(\xi, \psi_k)| &\leq \left| \frac{1}{4\mu_k^3} \sum_{r=2}^4 U_r(\xi) \psi_k^{(4-r)}(\xi) \right| \leq \frac{1}{4\mu_k^3} \sum_{r=2}^4 \|U_r(\xi)\| \|\psi_k^{(4-r)}\|_{\infty,m} \leq \\
&\leq \frac{\text{const}}{\mu_k} \left[\sum_{r=2}^4 \|U_r(\xi)\| \mu_k^{r-2} \right] \|\psi_k\|_{\infty,m} \leq \frac{\text{const}}{\mu_k} \left[\sum_{r=2}^4 \|U_r(\xi)\| \mu_k^{r-2} \right]; \quad (11) \\
|X_{kj}(0)| &\leq \text{const} \|\psi_k\|_{\infty,m} \leq \text{const}, \quad j = \overline{1,3},
\end{aligned}$$

we get

$$|B_{k4}(0)| \leq \text{const} \|\psi_k\|_{\infty,m} \leq \text{const}, \quad k \in N.$$

Using the last estimation in the second addend of equality (9), we have

$$\begin{aligned} |(f', B_{k4}(0) \exp(i\omega_4 \mu_k (1-t)))| &\leq \left| \sum_{\ell=0}^m \int_0^1 f'_\ell(t) \exp(i\omega_4 \mu_k (1-t)) dt B_{k4}^\ell(0) \right| \leq \\ &\leq \text{const} \left\{ \omega_{1,m} \left(f', \frac{1}{\mu_k} \right) + \frac{1}{\mu_k} \|f'\|_{1,m} \right\}. \end{aligned} \quad (12)$$

By virtue of (11), the third and fourth addends are estimated as follows

$$\begin{aligned} \left| \left(f', \int_0^t M(\xi, \psi_k) \exp(i\omega_j \mu_k (\xi-t)) d\xi \right) \right| &\leq \\ &\leq \frac{\text{const}}{\mu_k} \sum_{r=2}^4 \| \|U_r\| \|_1 \mu_k^{2-r} \|f'\|_{1,m}, \end{aligned} \quad (13)$$

$$\begin{aligned} \left| \left(f', \int_t^1 M(\xi, \psi_k) \exp(i\omega_4 \mu_k (\xi-t)) d\xi \right) \right| &\leq \\ &\leq \frac{\text{const}}{\mu_k} \sum_{r=2}^4 \| \|U_r\| \|_1 \mu_k^{2-r} \|f'\|_{1,m}. \end{aligned} \quad (14)$$

By virtue of inequalities (10), (12)-(14), from equality (9) we find

$$\begin{aligned} &\frac{1}{\mu_k^4} \left| (f', \psi_k^{(3)}) \right| \leq \\ &\leq \frac{\text{const}}{\mu_k} \left\{ \omega_{1,m} \left(f', \frac{1}{\mu_k} \right) + \frac{1}{\mu_k} \|f'\|_{1,m} + \frac{1}{\mu_k} \|f\|_{1,m} \sum_{r=2}^4 \|U_r\|_1 \mu_k^{2-r} \right\}. \end{aligned} \quad (15)$$

Taking into account estimations (7'), (8) and (15), in equality (6) we get

$$\begin{aligned} |f_k| &\leq \text{const} \left\{ C_1(f) \mu_k^{\alpha-4} + \frac{\omega_{1,m} \left(f', \frac{1}{\mu_k} \right)}{\mu_k} + \right. \\ &\left. + \frac{\|f'\|_{1,m}}{\mu_k^2} + \frac{\|f\|_{\infty,m} + \|f'\|_{1,m}}{\mu_k^2} \sum_{r=2}^4 \mu_k^{2-r} \| \|U_r\| \|_1 \right\}. \end{aligned}$$

The lemma is proved.

Proof of the theorem. Represent the series $\sum_{k=1}^{\infty} |f_k| |\psi_k(x)|$ in the form

$$\sum_{k=1}^{\infty} |f_k| |\psi_k(x)| = \sum_{0 \leq \mu_k < 4\pi} |f_k| |\psi_k(x)| + \sum_{\mu_k \geq 4\pi} |f_k| |\psi_k(x)|.$$

From the condition of "Sum of units" (see [7])

$$\sum_{\tau \leq \mu_k \leq \tau+1} 1 \leq \text{const}, \quad \forall \tau \geq 0 \quad (16)$$

and uniform boundedness of the system $\{\psi_k(x)\}_{k=1}^{\infty}$

$$\begin{aligned} \sum_{\tau \leq \mu_k < 4\pi} |f_k| |\psi_k(x)| &\leq \text{const} \sum_{\tau \leq \mu_k < 4\pi} |(f, \psi_k)| \leq \\ &\leq \text{const} \|f\|_{1,m} \sum_{\tau \leq \mu_k < 4\pi} 1 \leq \text{const} \|f\|_{1,m}. \end{aligned}$$

From the conditions of the theorem, condition (16) and statement of the lemma, we get

$$\begin{aligned} \sum_{\mu_k \geq 4\pi} |f_k| |\psi_k(x)| &\leq \text{const} \sum_{\mu_k \geq 4\pi} |f_k| \leq \left\{ C_1(f) \sum_{\mu_k \geq 4\pi} \mu_k^{\alpha-4} + \right. \\ &+ \sum_{\mu_k \geq 4\pi} \frac{\omega_{1,m}(f', \mu_k^{-1})}{\mu_k} + \|f'\|_{1,m} \sum_{\mu_k \geq 4\pi} \mu_k^{-2} + \\ &+ \left. \left(\|f\|_{\infty,m} + \|f'\|_{1,m} \right) \sum_{r=2}^4 \| \|U_r\| \|_1 \left(\sum_{\mu_k \geq 4\pi} \mu_k^{-r} \right) \right\} \leq \\ &\leq \text{const} \left\{ C_1(f) \sum_{n=[4\pi]}^{\infty} \left(\sum_{n \leq \mu_k \leq n+1} \mu_k^{\alpha-4} \right) + \right. \\ &+ \sum_{n=[4\pi]}^{\infty} \left(\sum_{n \leq \mu_k \leq n+1} \mu_k^{-1} \omega_{1,m} \left(f', \frac{1}{\mu_k} \right) \right) + \|f'\|_{1,m} \sum_{n=[4\pi]}^{\infty} \left(\sum_{n \leq \mu_k \leq n+1} \mu_k^{-2} \right) + \\ &+ \left. \left(\|f\|_{\infty,m} + \|f'\|_{1,m} \right) \sum_{r=2}^4 \| \|U_r\| \|_1 \sum_{n=[4\pi]}^{\infty} \left(\sum_{n \leq \mu_k \leq n+1} \mu_k^{-r} \right) \right\} \leq \\ &\leq \text{const} \left\{ C_1(f) \sum_{n=[4\pi]}^{\infty} n^{\alpha-4} \left(\sum_{n \leq \mu_k \leq n+1} 1 \right) + \right. \\ &+ \sum_{n=[4\pi]}^{\infty} \frac{1}{n} \omega_{1,m} \left(f', \frac{1}{n} \right) \left(\sum_{n \leq \mu_k \leq n+1} 1 \right) + \|f'\|_{1,m} \sum_{n=[4\pi]}^{\infty} n^{-2} \left(\sum_{n \leq \mu_k \leq n+1} 1 \right) + \\ &+ \left. \left(\|f\|_{\infty,m} + \|f'\|_{1,m} \right) \sum_{r=2}^4 \| \|u_r\| \|_1 \sum_{n=[4\pi]}^{\infty} n^{-2} \left(\sum_{n \leq \mu_k \leq n+1} 1 \right) \right\} \leq \\ &\leq \text{const} \left\{ C_1(f) [4\pi]^{\alpha-3} + \sum_{n=[4\pi]}^{\infty} n^{-1} \omega_{1,m} \left(f', \frac{1}{n} \right) + \right. \end{aligned}$$

$$+ \|f'\|_{1,m} [4\pi]^{-1} + \left(\|f'\|_{1,m} + \|f\|_{\infty,m} \right) \sum_{r=2}^4 \| \|U_r\| \|_1 [4\pi]^{1-r} \} < \infty.$$

Thus, the expansion $\sum_{k=1}^{\infty} f_k \psi_k(x)$ of the function $f(x)$ converges absolutely and uniformly on \bar{G} .

From the basicity of the system $\{\psi_k(x)\}_{k=1}^{\infty}$ in $L_{2(G)}^m$ this expansion converges uniformly to the function $f(x)$. Consequently,

$$f(x) = \sum_{k=1}^{\infty} f_k \psi_k(x), \quad x \in \bar{G}. \quad (17)$$

Now, establish estimation (3). From equality (17) uniform boundedness of the system $\{\psi_k(x)\}_{k=1}^{\infty}$, conditions (16), (2) and the lemma

$$\begin{aligned} \sup_{x \in \bar{G}} |\sigma_{\nu}(x, f) - f(x)| &= \sup_{x \in \bar{G}} \left| \sum_{\mu_k \leq \nu} f_k \psi_k(x) - \sum_{k=1}^{\infty} f_k \psi_k(x) \right| = \\ &= \sup_{x \in \bar{G}} \left| \sum_{\mu_k > \nu} f_k \psi_k(x) \right| = \sum_{\mu_k > \nu} |f_k| |\psi_k|_{\infty,m} \leq C \sum_{\mu_k > \nu} |f_k| \leq C \sum_{n=[\nu]}^{\infty} \left(\sum_{n \leq \mu_k \leq n+1} |f_k| \right) \leq \\ &\leq C \sum_{n=[\nu]}^{\infty} \left(\sum_{n \leq \mu_k \leq n+1} \left\{ C_1(f) \mu_k^{\alpha-4} + \frac{\omega_{1,m}(f', \mu_k^{-1})}{\mu_k} + \mu_k^{-2} \|f'\|_{1,m} + \right. \right. \\ &\quad \left. \left. + \left(\|f\|_{\infty,m} + \|f'\|_{1,m} \right) \sum_{r=2}^4 \mu_k^{-r} \| \|U_r\| \|_1 \right\} \right) \leq \\ &\leq \text{const} \left\{ C_1(f) \nu^{\alpha-3} + \sum_{n=[\nu]}^{\infty} n^{-1} \omega_{1,m}(f', n^{-1}) + \right. \\ &\quad \left. + \nu^{-1} \|f'\|_{1,m} + \left(\|f\|_{\infty,m} + \|f'\|_{1,m} \right) \sum_{r=2}^4 \mu^{1-r} \| \|U_r\| \|_1 \right\}. \end{aligned}$$

The theorem is proved.

Corollary 1. *If the system $\{\psi_k(x)\}_{k=1}^{\infty}$ is uniformly bounded, $f(x) \in W_{1,m}^1(G)$, $f(0) = f(1) = 0$ and $f'(x) \in H_{1,m}^{\alpha}(G)$, $0 < \alpha < 1$ ($H_{1,m}^{\alpha}(G)$ is the Nikolsky class of m component vector-functions), then*

$$\sup_{x \in \bar{G}} |\sigma_{\nu}(x, f) - f(x)| \leq \text{const } \nu^{-\alpha} \|f'\|_{1,m}^{\alpha},$$

where

$$\|g\|_{1,m}^{\alpha} = \|g\|_{1,m} + \sup_{\delta > 0} \frac{\omega_{1,m}(g, \delta)}{\delta^{\alpha}}.$$

[V.M.Kurbanov, Ya.I.Huseynova]

Corollary 2. *If the system $\{\psi_k(x)\}_{k=1}^{\infty}$ is uniformly bounded, $f(x) \in W_{1,m}^1(G)$, $f(0) = f(1) = 0$ and for some $\beta > 0$ it is fulfilled the estimation*

$$\omega_{1,m}(f', \delta) = O\left(\ln^{-(1+\beta)} \frac{1}{\delta}\right), \quad \delta \rightarrow +0,$$

then

$$\sup_{x \in \bar{G}} |\sigma_{\nu}(x, f) - f(x)| = O\left(\ln^{-\beta} \nu\right), \quad \nu \rightarrow \infty.$$

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