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“KADETS $\frac{1}{4}$ - THEOREM” AND MULTIPLIERS OF TYPE (p, p)

Abstract

In the present paper we prove analogues of the well-known “Kadets $\frac{1}{4}$ - theorem” for perturbed system of exponents cosines and sines in Lebesgue spaces.

1. Introduction

A famous classical theorem of Paley-Wiener [6] claims that if $\{\lambda_n\}$ is a sequence of real numbers such that $d \equiv \sup_n |\lambda_n - n| < \frac{1}{\pi^2}$, then the system of exponent

$$\{e^{i\lambda_n t}\}, \quad n \in \mathbb{Z}, \tag{1}$$

forms a basis in $L_2(-\pi, \pi)$ and is isomorphic to the system $\{e^{int}\}_{n \in \mathbb{Z}}$. They raised a question to replace the constant $\frac{1}{\pi^2}$ with larger one such that the system (1) would still form a basis in $L_2(-\pi, \pi)$. This question was completely solved by M. I. Kadets [7], who proved that the above assertion holds under the weaker condition $d < \frac{1}{4}$ and the constant $\frac{1}{4}$ is optimal.

It is natural to ask whether there is an analogy of Kadets’ result in the space $L_p(-\pi, \pi)$ with $p \neq 2$. This question was the topic of investigation of Bilalov [1]–[3]. In some particular cases he has obtained affirmative answer to this and some other relative questions. In the case when λ_n has perturbation ($\lambda_n = n + \alpha \operatorname{sign} n$) has been completely solved in works of Moiseev [9] and Sedletskii [10].

We use the notation $\|\{\cdot\}\|_2$ to denote the multiplier of type (2,2). It is known that $(2, 2) \equiv l_\infty$ and

$$\|\{\lambda_n - n\}_{n \in \mathbb{Z}}\|_2 = \|\{\lambda_n - n\}_{n \in \mathbb{Z}}\|_{l_\infty} = \sup_n |\lambda_n - n|.$$

Thus, the theorem of Paley-Wiener essentially claims that there exists $\delta > 0$ such that if $\{\lambda_n - n\}_{n \in \mathbb{Z}} \in (2, 2)$ and $\|\{\lambda_n - n\}_{n \in \mathbb{Z}}\|_2 < \delta$, then the system (1) forms Riesz basis in $L_2(-\pi, \pi)$. In the present paper we extend this assertion to any $L_p(-\pi, \pi)$.

2. Auxiliary statements

In what follows, we use the abbreviation $\{a_n\} \equiv \{a_n\}_{n \in \mathbb{Z}}$. Given $f \in L_1(-\pi, \pi)$ by $\{F_n(f)\}$ we denote its Fourier coefficients

$$F_n(f) := \frac{1}{2\pi} \int_{-\pi}^{\pi} f(t) e^{-int} dt, \quad n \in \mathbb{Z}.$$

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We shall say that $\{\delta_n\}$ is a multiplier of type (p, q) , i.e. $\{\delta_n\} \in (p, q)$, if for any $f \in L_p$ there exists $g \in L_q$ such that $F_n(g) = \delta_n F_n(f)$ for all $n \in \mathbb{Z}$. It is known that if $\{\delta_n\} \in (p, q)$, $1 \leq p, q \leq +\infty$, then there exists $\delta_{pq} > 0$ such that the inequality

$$\left\| \sum \delta_n c_n e^{int} \right\|_q \leq \delta_{pq} \left\| \sum c_n e^{int} \right\|_p \quad (2)$$

holds for any finite sum \sum , where $\|\cdot\|_p$ is the standard norm in $L_p(-\pi, \pi)$. The quantity

$$\inf \left\{ \delta_{pq} : \left\| \sum \delta_n c_n e^{int} \right\|_q \leq \delta_{pq} \left\| \sum c_n e^{int} \right\|_p \right\}$$

is called the norm of the multiplier $\{\delta_n\}$ and is denoted by $\|\{\delta_n\}\|_{p,q}$. Clearly, if $\{\delta_n\} \in (p, q)$, then for any real number δ ,

$$\{\delta \delta_n\} \in (p, q), \quad \|\{\delta \delta_n\}\|_{p,q} = |\delta| \|\{\delta_n\}\|_{p,q}.$$

In particular, it follows that there are multipliers with arbitrarily small norms. Let us denote $\|\{\delta_n\}\|_p \equiv \|\{\delta_n\}\|_{p,p}$ and let

$$\|\{a_n\}\|_{V_r} = \sup_{\{n_k\}_{k \in \mathbb{N}} \subset \mathbb{N}} \left\{ \sum_{k=1}^m |a_{n_{k+1}} - a_{n_k}|^r \right\}^{1/r}, \quad V_r \equiv \{\{a_n\} : \|\{a_n\}\|_{V_r} < +\infty\}.$$

We shall need the following statement Hirschman which can be found in [4].

Theorem H. *Let $\delta_n = O(|n|^{-\alpha})$ as $|n| \rightarrow \infty$. Then the following statements hold:*

1) *If $\alpha > 0$ and $\{\delta_n\} \in V_r$ for some $r > 2$, then $\{\delta_n\} \in (p, p)$ for any $p \in \left(\frac{2r}{r+2}, \frac{2r}{r-2}\right)$. But if $\{\delta_n\} \in V_r$ for $r \in [1, 2)$, then $\{\delta_n\} \in (p, p)$ for any $p \in [1, +\infty)$;*

2) *If $\alpha \in \left(0, \frac{1}{2}\right]$, then $\{\delta_n\} \in (p, p)$ for any $p \in \left(\frac{2}{1+2\alpha}, \frac{2}{1-2\alpha}\right)$.*

3. Main results. Basis of exponents

Let $\lambda_n = n + \delta_n$, $n \in \mathbb{Z}$. The first result of our present paper is as follows:

Theorem 1. *Let $\{\delta_n\}_{n \in \mathbb{Z}} \in (p, p)$, $1 < p < +\infty$, be such that $\|\{\delta_n\}\|_p < \frac{\ln 2}{\pi}$. Then the system (1) forms a basis in $L_p(-\pi, +\pi)$ and is isomorphic to $\{e^{int}\}_{n \in \mathbb{Z}}$.*

Proof. Let $\sum_n f_n (e^{i\lambda_n t} - e^{int})$ be any finite sum. In view of the identity

$$e^{i\lambda_n t} - e^{int} = (e^{i\lambda_n t} - 1) e^{int} = \sum_{k=1}^{\infty} \frac{(i\delta_n t)^k}{k!} e^{int} = \sum_{k=1}^{\infty} \frac{(it)^k}{k!} \delta_n^k e^{int},$$

we have

$$\left\| \sum_n f_n (e^{i\lambda_n t} - e^{int}) \right\|_p = \left\| \sum_n f_n \sum_{k=1}^{\infty} \frac{(it)^k}{k!} \delta_n^k e^{int} \right\|_p =$$

$$= \left\| \sum_{k=1}^{\infty} \frac{(it)^k}{k!} \sum_n f_n \delta_n^k e^{int} \right\|_p \leq \sum_{k=1}^{\infty} \frac{\pi^k}{k!} \left\| \sum_n \delta_n^k f_n e^{int} \right\|_p. \quad (3)$$

Let $\{\delta_n\}_{n \in \mathbb{Z}} \in (p, p)$, and denote $\delta_p = \|\{\delta_n\}\|_p$. Since $f(t) \equiv \sum_n f_n e^{int} \in L_p(-\pi, \pi)$, we have

$$\left\| \sum_n \delta_n f_n e^{int} \right\|_p \leq \delta_p \left\| \sum_n f_n e^{int} \right\|_p.$$

Therefore,

$$\left\| \sum_n \delta_n^k f_n e^{int} \right\|_p \leq \delta_p^k \left\| \sum_n f_n e^{int} \right\|_p.$$

Combining this with (3), we obtain

$$\left\| \sum_n f_n (e^{i\lambda_n t} - e^{int}) \right\|_p \leq (e^{\pi \delta_p} - 1) \left\| \sum_n f_n e^{int} \right\|_p. \quad (4)$$

Now let $f \in L_p(-\pi; \pi)$. In (4) we define $\{f_n\}$ to be the Fourier coefficients of the function f . From (4) it follows that the series

$$\sum_{-\infty}^{\infty} f_n (e^{i\lambda_n t} - e^{int})$$

converges in $L_p(-\pi, \pi)$. Consider the operator T defined as

$$Tf = \sum_{-\infty}^{\infty} f_n (e^{i\lambda_n t} - e^{int}).$$

Then from (4) we get $\|Tf\|_p \leq (e^{\pi \delta_p} - 1) \|f\|_p$, i.e.

$$\|T\| \leq e^{\pi \delta_p} - 1.$$

Since $\delta_n < \frac{\ln 2}{\pi}$, it follows that $\|T\| < 1$. Therefore, the operator $I + T$ is invertible (here $I : L_p \rightarrow L_p$ denotes the identity operator). On the other hand, $(I + T)[e^{int}] = e^{i\lambda_n t}$ for any $n \in \mathbb{Z}$. Thus, the systems (1) and $\{e^{int}\}_{n \in \mathbb{Z}}$ are isomorphic in $L_p(-\pi, \pi)$. This finishes the proof of theorem 1.

Remark. In the case $p = 2$ it is known that $\sup_n |\delta_n| = \|\{\delta_n\}\|_2$, i.e. $\|\{\delta_n\}\|_{l_\infty} = \|\{\delta_n\}\|_2$. Thus, taking $p = 2$ from theorem 1 we obtain a known result.

Using the above mentioned result of Hirschman, from theorem 1 we obtain the following consequence.

Corollary 1. Let $\delta_n = \delta \cdot \tilde{\delta}_n$ for any $n \in \mathbb{Z}$. Then the following statements hold:

1) If $\tilde{\delta}_n = O(|n|^{-\alpha})$ as $|n| \rightarrow \infty$ for some $\alpha > 0$ and if $\{\tilde{\delta}_n\}_{n \in \mathbb{Z}} \in V_r, r > 2$, then there exists $\delta_p > 0$ such that for any $\delta \in [0, \delta_p)$ the system (1) forms a basis for $L_p(-\pi, \pi)$ and is isomorphic to $\{e^{int}\}_{n \in \mathbb{Z}}$ for any $p \in \left(\frac{2r}{r+2}, \frac{2r}{r-2}\right)$. Besides,

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if $\{\tilde{\delta}_n\}_{n \in \mathbb{Z}} \in V_r$, $1 \leq r < 2$, then the preceding assertion takes place for any $p \in (1, +\infty)$;

2) If $\tilde{\delta}_n = O(|n|^{-\alpha})$ as $|n| \rightarrow \infty$ and if $\alpha \in \left(0, \frac{1}{2}\right)$, then there exists $\delta_p > 0$

such that for any $\delta \in [0, \delta_p)$ the assertion 1) holds for any $p \in \left(\frac{2}{1+2\alpha}, \frac{2}{1-2\alpha}\right)$.

Let us now consider the following Riesz properties [5]:

$$\left\| \sum_{-N_1}^0 a_n e^{int} \right\|_p + \left\| \sum_1^{N_2} a_n e^{int} \right\|_p \leq M_p \left\| \sum_{-N_1}^{N_2} a_n e^{int} \right\|_p, \quad 1 < p < +\infty, \quad (5)$$

where $N_1 \geq 0$; $N_2 \geq 1$ are integers and M_p is a constant that depends only on p . Using this property it is not difficult to show that if $\{\delta_n\} \in (p, p)$, then $\{\tilde{\delta}_n\}$ also belongs to the class (p, p) , where $\text{card}\{n : \delta_n \neq \tilde{\delta}_n\} < +\infty$. Consider the following example: let

$$\delta_n = \begin{cases} \beta^+, & \text{if } n \geq n_1, \\ \beta^-, & \text{if } n \leq n_2, \end{cases}$$

where n_1, n_2 are any integers. All the cases are easily reduced to the case $n_1 = 0$, $n_2 = -1$, so let us assume that $n_1 = 0$; $n_2 = -1$. Then from (5) it follows that $\{\delta_n\} \in (p, p)$, and besides $\|\{\delta_n\}\|_p \leq M_p \beta$, where $\beta = \max\{|\beta^+|; |\beta^-|\}$. From theorem 1 we obtain that for any $\beta_i \in (-\delta_p, \delta_p)$, $i = 1, 2$, where $\delta_p = \frac{\ln 2}{\pi M_p}$, the system (1) forms a basis in $L_p(-\pi, \pi)$ isomorphic to $\{e^{int}\}_{n \in \mathbb{Z}}$.

4. Bases of cosines and sines

Let us mention the following Lemma, which is easy to establish:

Lemma 1. *The system $\{e^{\pm i\lambda_n t}\}_{n \in \mathbb{N}}$ (or the system $1 \cup \{e^{\pm i\lambda_n t}\}_{n \in \mathbb{N}}$) forms a basis in $L_p(-\pi, \pi)$ if and only if systems $\{\sin \lambda_n t\}_{n \in \mathbb{N}}$ and $\{\cos \lambda_n t\}_{n \in \mathbb{N}}$ (respectively, systems $\{\sin \lambda_n t\}_{n \in \mathbb{N}}$ and $1 \cup \{\cos \lambda_n t\}_{n \in \mathbb{N}}$) simultaneously form bases in $L_p(0, \pi)$.*

Let all the hypothesis of theorem 1 be satisfied. According to the results of Levinson [8], we can assume that $\lambda_0 = 0$. Then from lemma 1 we get that if $\|\{\delta_n\}\|_p < \delta_p$, then the systems $\{\sin \lambda_n t\}_{n \in \mathbb{N}}$ and $1 \cup \{\cos \lambda_n t\}_{n \in \mathbb{N}}$ form bases in $L_p(0, \pi)$.

It is easy to note that if $\{\delta_n\} \in (p, p)$, then $\{\delta_n + c\}$ also belongs to (p, p) for any real c , and besides $\|\{\delta_n + c\}\|_p \leq \|\{\delta_n\}\|_p + |c|$.

Now consider the system $\{e^{\pm i\lambda_n t}\}_{n \in \mathbb{N}}$. It is absolutely obvious that this system forms a basis in $L_p(-\pi, \pi)$ only under such case if system $\{e^{i\alpha t} \cdot e^{\pm i\lambda_n t}\}_{n \in \mathbb{N}}$ forms a basis in $L_p(-\pi, \pi)$, where α is any real number. Let

$$\mu_n = \begin{cases} -\lambda_{|n|} + \alpha, & \text{if } n \leq -1, \\ \lambda_{n+1} + \alpha, & \text{if } n \geq 0, \end{cases}$$

We have

$$\tilde{\delta}_n = \mu_n - n = -\lambda_{|n|} + \alpha - n = -(\lambda_{|n|} - |n|) + \alpha = -\delta_{|n|} + \alpha \quad \text{if } n \leq -1;$$

$$\tilde{\delta}_n = \mu_n - n = \lambda_{n+1} + \alpha - n = \lambda_{n+1} - (n + 1) + \alpha + 1 = \delta_{n+1} + \alpha + 1 \quad \text{if } n \geq 0.$$

Thus,

$$\tilde{\delta}_n = \begin{cases} \delta_{n+1} + 1 + \alpha, & n \geq 0; \\ -\delta_{|n|} + \alpha, & n \leq -1. \end{cases}$$

Using the property Riesz (5) once again we can easily show that if $\{\delta_n\} \in (p, p)$, where $1 < p < +\infty$, then $\{\tilde{\delta}_n\}$ also belongs to (p, p) . Thus, if $\left\| \left\{ \tilde{\delta}_n \right\} \right\|_p < \delta_p$ (δ_p - is a constant from theorem 1), then the system $\{e^{\pm i\lambda_n t}\}_{n \in \mathbb{N}}$ forms a basis in $L_p(-\pi, \pi)$, and, moreover, each system of $\{\cos \lambda_n t\}_{n \in \mathbb{N}}$, $\{\sin \lambda_n t\}_{n \in \mathbb{N}}$ forms a basis in $L_p(0, \pi)$. Taking $\alpha = -\frac{1}{2}$, we eventually obtain that if at least one of the two conditions

- 1) $\|\{\delta_n\}\|_p < \delta_p$
- 2) $\left\| \left\{ \delta_n + \frac{1}{2} \right\} \right\|_p < \delta_p$

is satisfied, then the system of sines $\{\sin \lambda_n t\}_{n \in \mathbb{N}}$ forms a basis in $L_p(0, \pi)$. On the other hand, if condition 1) holds, then the system $1 \cup \{\cos \lambda_n t\}_{n \in \mathbb{N}}$ also forms a basis in $L_p(0, \pi)$.

Let the condition 2) take place. Then it is clear that the system $\{\cos \lambda_n t\}_{n \in \mathbb{N}}$ forms a basis in $L_p(0, \pi)$. Denote $\mu_n = \lambda_{n+1}$. For any $n \geq 0$ we have

$$\mu_n - n = \lambda_{n+1} - n = \lambda_{n+1} - (n + 1) + 1 \Rightarrow \mu_n - n - \frac{1}{2} = \delta_{n+1} + \frac{1}{2}.$$

Thus if $\left\| \left\{ \mu_n - n - \frac{1}{2} \right\} \right\|_p = \left\| \left\{ \delta_{n+1} + \frac{1}{2} \right\} \right\|_p < \delta_p$, then the system $\{\cos \mu_n t\}_{n \geq 0}$ forms a basis in $L_p(0, \pi)$. In accordance to the result of Levinson, we can assume that $\mu_0 = 0$. As a result we obtain that if $\left\| \left\{ \mu_n - n - \frac{1}{2} \right\} \right\|_p < \delta_p$, then the system $1 \cup \{\cos \mu_n t\}_{n \in \mathbb{N}}$ forms a basis in $L_p(0, \pi)$.

Thus, we have proved the following theorem:

Theorem 2. *Let $\{\delta_n\} \in (p, p)$. If 1) $\|\{\delta_n\}\|_p < \frac{\ln 2}{\pi}$ or 2) $\left\| \left\{ \delta_n + \frac{1}{2} \right\} \right\|_p < \frac{\ln 2}{\pi}$, then the system of sines $\{\sin \lambda_n t\}_{n \in \mathbb{N}}$ forms a basis in $L_p(0, \pi)$ isomorphic to $\{\sin nt\}_{n \in \mathbb{N}}$. If condition 1) holds or if $\left\| \left\{ \delta_n - \frac{1}{2} \right\} \right\|_p < \frac{\ln 2}{\pi}$, then the system of cosines $1 \cup \{\cos \lambda_n t\}_{n \in \mathbb{N}}$ forms a basis in $L_p(0, \pi)$ isomorphic to $\{\cos nt\}_{n \geq 0}$.*

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